

From Model-Based to Data-Driven Control of Network Dynamics

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October 14, 2020



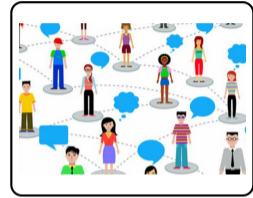
It's a dynamical, networked, and complex world !



biology



engineering



social science

It's a dynamical, networked, and complex world !



$x(t)$ = neural activity



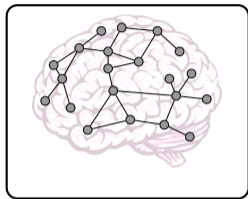
$x(t)$ = power consumption



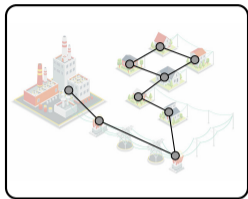
$x(t)$ = individual opinions

1. **dynamical** processes: $\dot{x}(t) = f(x(t))$ or $x(t + 1) = f(x(t))$

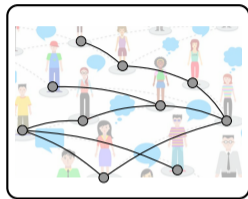
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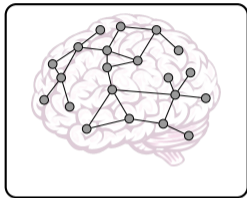


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1. **dynamical** processes: $\dot{x}(t) = f(x(t))$ or $x(t + 1) = f(x(t))$
2. many "simple" units interconnected through a **network**: $\mathcal{G} = (\mathcal{V}, \mathcal{E})$

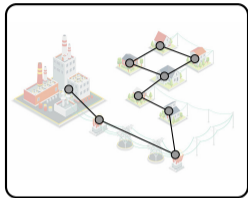
It's a dynamical, networked, and complex world !

cognition, seizures



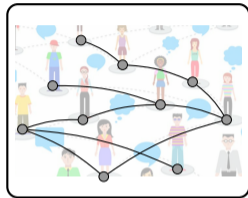
$x(t)$ = neural activity

desync, blackouts



$x(t)$ = power consumption

consensus, polarizations

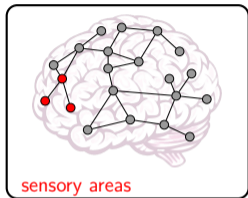


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3. emergence of **complex** collective phenomena/behaviors

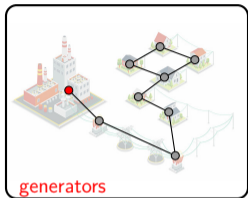
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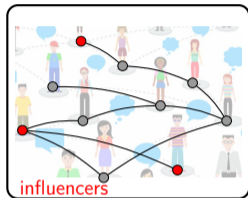
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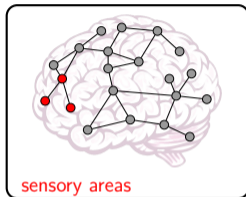


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2. many "simple" units interconnected through a **network**: $\mathcal{G} = (\mathcal{V}, \mathcal{E})$
3. emergence of **complex** collective phenomena/behaviors
4. presence of nodes with **control** authority

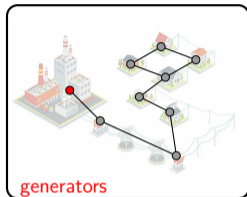
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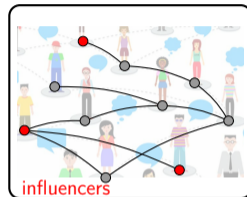
$x(t)$ = neural activity

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consensus, polarizations



$x(t)$ = individual opinions

Analyze, predict, and *control* dynamics over large-scale networks

Disclaimer

dynamics = linear dynamics

$$\dot{x}(t) = Ax(t) + Bu(t) \quad x(t+1) = Ax(t) + Bu(t)$$

$$x(0) = x_0 \in \mathbb{R}^n, \quad A \in \mathbb{R}^{n \times n}, \quad B \in \mathbb{R}^{n \times m}$$

Roadmap

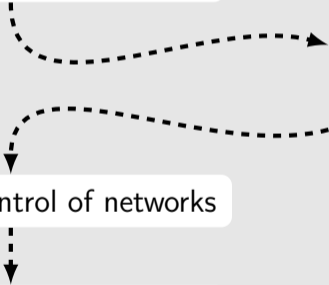
Network controllability:

- the structural approach
- the “practical” approach

Non-normal dynamics
and network structure

Data-driven control of networks

Conclusions & open challenges



Roadmap

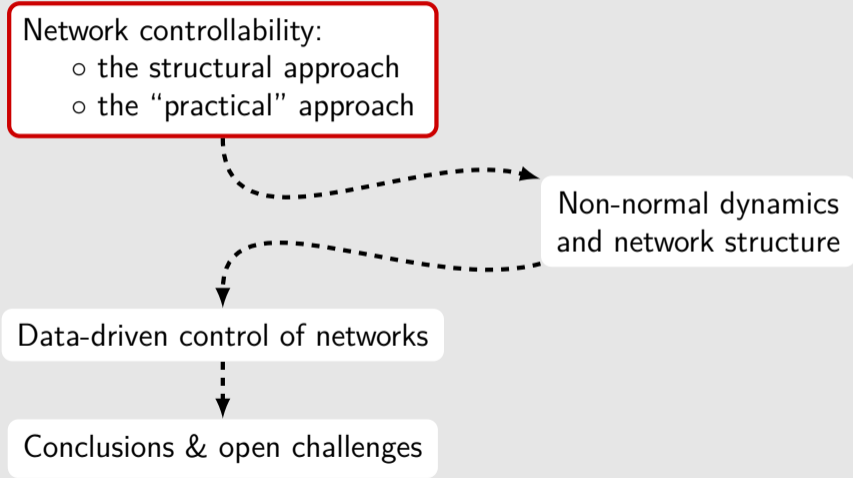
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Control theory meets network science

12 May, 2011



ARTICLE

doi:10.1038/nature10011

Controllability of complex networks

Yang-Yu Liu^{1,2}, Jean-Jacques Slotine^{3,4} & Albert-László Barabási^{1,2,5}

Control theory meets network science

12 May, 2011



ARTICLE

doi:10.1038/nature10011

Controllability of complex networks

ARTICLE

Received 7 Apr 2015 | Accepted 19 Aug 2015 | Published 1 Oct 2015

DOI: 10.1038/ncomms9414

OPEN

Controllability of structural brain networks

Shi Gu^{1,2}, Fabio Pasqualetti³, Matthew Cieslak⁴, Qawi K. Telesford^{2,5}, Alfred B. Yu⁵, Ari E. Kahn², John D. Medaglia², Jean M. Vettel^{4,5}, Michael B. Miller⁴, Scott T. Grafton⁴ & Danielle S. Bassett^{2,6}

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Controllability of structural brain networks

OPEN ACCESS Freely available online

PLoS one

Nodal Dynamics, Not Degree Distributions, Determine the Structural Controllability of Complex Networks

Noah J. Cowan^{1*}, Erick J. Chastain², Daril A. Vilhena³, James S. Freudenberg⁴, Carl T. Bergstrom^{3,5}

Control theory meets network science

12 May, 2011



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PLoS one

Nodal Dynamics, Not Degree Distributions, Determine the Structural Controllability of Complex Networks

T. Bergstrom^{3,5}

BRIEF COMMUNICATIONS ARISING

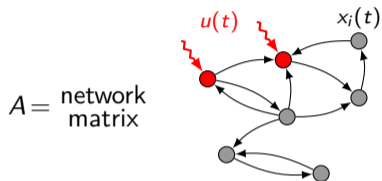
Few inputs can reprogram biological networks

ARISING FROM Y. Liu, J. Slotine & A. Barabási *Nature* 473, 167–173 (2011)

...

Network controllability: setting

$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$



dynamical system with state $x(t)$
+ control $u(t)$

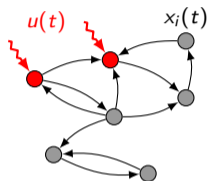
$$x(t+1) = Ax(t) + Bu(t)$$

B (typically) selects a subset of \mathcal{V}

Network controllability: setting

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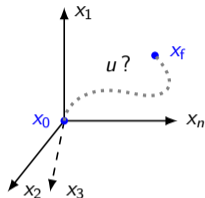
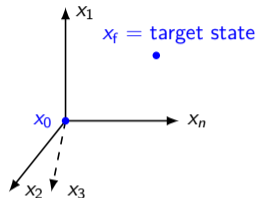
$A =$ network matrix



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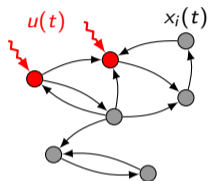


controllability =
 $\exists u(t), T: x(0) = x_0, x(T) = x_f, \forall x_0, x_f$

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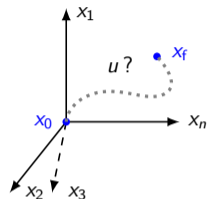
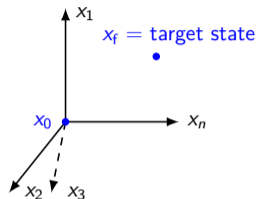
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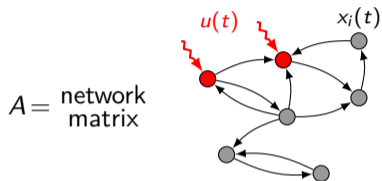
controllability =
 $\exists u(t), T: x(0) = x_0, x(T) = x_f, \forall x_0, x_f$

$$\text{rank} \underbrace{\begin{bmatrix} B & AB & \cdots & A^{n-1}B \end{bmatrix}}_C = n$$

Kalman rank condition (1963)

Network controllability: the structural approach

$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$



dynamical system with state $x(t)$
+ control $u(t)$

$$x(t+1) = Ax(t) + Bu(t)$$

B (typically) selects a subset of \mathcal{V}

structural controllability =
 \exists weights such that network is controllable

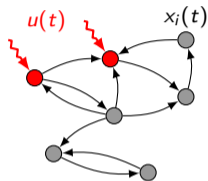


controllability for *almost all* choices of weights!

Network controllability: the structural approach

$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$

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dynamical system with state $x(t)$
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controllability for *almost all* choices of weights!

- ✓ captures the role of network topology
- ✓ can be checked via graphical conditions

IEEE TRANSACTIONS ON AUTOMATIC CONTROL, VOL. AC-19, NO. 3, JUNE 1974

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Structural Controllability

CHING-TAI LIN, MEMBER, IEEE

Structural network controllability: some relevant questions (w/ answers)

- How does the network structure affect structural controllability? [Liu et al., 2011]
 - ▷ dense, homogeneous networks are “easier” to control (require fewer inputs)
 - ▷ choice of hubs as control nodes is not “optimal”
- What is the minimum set of control nodes that guarantees controllability?
 - ▷ polynomial time algorithms [Pequito et al., 2016]
 - (“standard” controllability: NP-hard problem [Olshevsky, 2014] !)

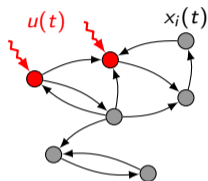
...however edge weights do matter !

controllable networks might be uncontrollable in practice !!

Network controllability: the “practical” approach

$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$

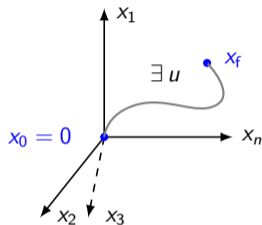
$A =$ **stable**
network
matrix



dynamical system with state $x(t)$
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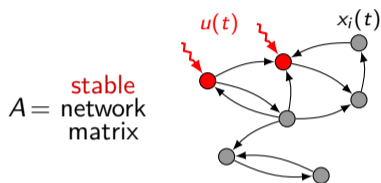
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How much energy is needed?

Network controllability: the “practical” approach

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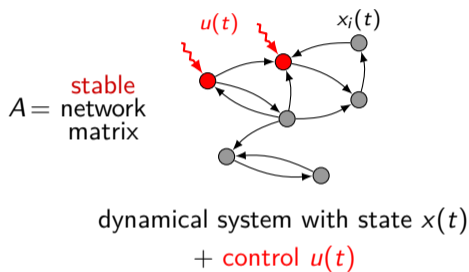
B (typically) selects a subset of \mathcal{V}

T -steps controllability Gramian:

$$\mathcal{W}_T = \sum_{k=0}^{T-1} A^k B B^\top (A^\top)^k$$

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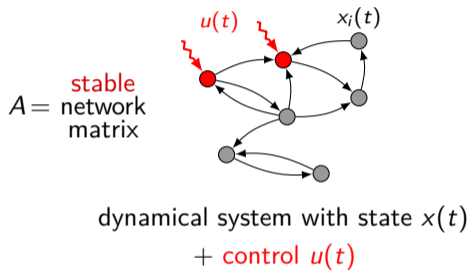
$$\mathcal{W}_T = \sum_{k=0}^{T-1} A^k B B^\top (A^\top)^k$$

Minimum-energy control sequence:

$$u^*(t) = B^\top (A^\top)^{T-t-1} \mathcal{W}_T^{-1} x_f$$
$$t = 0, 1, \dots, T-1$$

Network controllability: the “practical” approach

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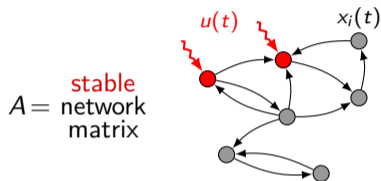
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energy needed to reach x_f in T steps:

$$\sum_{t=0}^{T-1} \|u^*(t)\|^2 = x_f^\top \mathcal{W}_T^{-1} x_f$$

Network controllability: the “practical” approach

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scalar metrics:

$\lambda_{\min}^{-1}(\mathcal{W}_T) =$ worst-case control energy

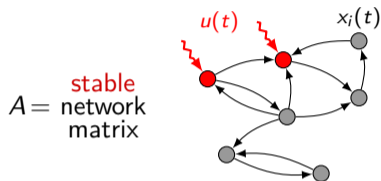
$\text{tr}(\mathcal{W}_T^{-1}) =$ average control energy

$1/\det(\mathcal{W}_T) =$ “volumetric” control energy

⋮

Network controllability: the “practical” approach

$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$



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$$\lambda_{\min}^{-1}(\mathcal{W}_T) = \text{worst-case control energy}$$

$$\lambda_{\min}(\mathcal{W}_T) \Downarrow \implies \text{control energy} \Uparrow$$

$$\lambda_{\min}(\mathcal{W}_T) \Uparrow \implies \text{control energy} \Downarrow$$

Difficult-to-control networks

[Pasqualetti et al., 2014], [Bof et al., 2016], [Olshevsky, 2016],...

Theorem: Let A be diagonalizable with eigenvector matrix V , and (Schur) stable. Then, for all $T \in \mathbb{N}_{>0}$:

$$\lambda_{\min}(\mathcal{W}_T) \leq \min \left\{ \|V\|^2 \|V^{-1}\|^2 \frac{\rho(A)^{2(\frac{n}{m}-1)}}{1 - \rho(A)^2}, \frac{4\mu(A)^{2(\frac{n}{m}-1)}}{1 - \mu(A)^2} \right\}$$

where $\rho(A) = \max_{\lambda \in \sigma(A)} \lambda$ (spec. radius) and $\mu(A) = \max_{\|x\|=1} |x^\top Ax|$ (num. radius).

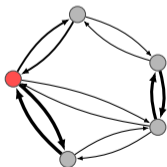
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If A is stable, *normal*, and m is fixed and independent of n :

$$\lambda_{\min}(\mathcal{W}_T) \leq K^n, \text{ with } 0 < K < 1$$

control energy grows exponentially fast with n !!

Easy-to-control networks?

If A is stable and m is fixed and independent of n , are there networks such that

$$\lambda_{\min}(\mathcal{W}_T) \geq L, L > 0, \text{ for all } n ??$$

N.B. Such networks must satisfy $\|V\| \|V^{-1}\| \gg 1$ and/or $\mu(A) > 1$

\implies *strong non-normality* !

Easy-to-control networks?

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\implies *strong non-normality* !

Short answer: *Yes*

but a characterization of these networks is still largely an open problem !

An easy-to-control network

[Pasqualetti and Zampieri, 2015]



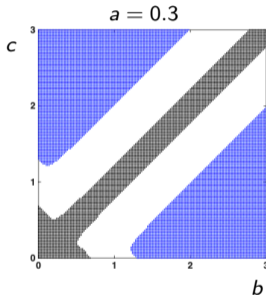
$$A = \begin{bmatrix} a & b & 0 & \dots & 0 \\ c & a & b & \dots & 0 \\ 0 & c & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & a & b \\ 0 & \dots & \dots & c & a \end{bmatrix}$$

An easy-to-control network

[Pasqualetti and Zampieri, 2015]



$$A = \begin{bmatrix} a & b & 0 & \dots & 0 \\ c & a & b & \dots & 0 \\ 0 & c & \ddots & \ddots & \vdots \\ \vdots & \ddots & \ddots & a & b \\ 0 & \dots & \dots & c & a \end{bmatrix}$$



Theorem: A Toeplitz line network is easy to control if one of the following holds:

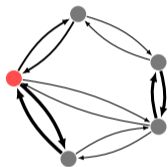
- $\frac{a(b+c)}{4bc} \leq 1$ and $1 < (b-c)^2(1 - \frac{a^2}{4bc})$
- $\frac{a(b+c)}{4bc} > 1$ and $1 \leq c + b - a$

Loosely speaking...

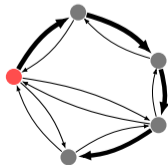
$$\mathcal{W}_T \uparrow\uparrow \iff \mathcal{W}_T^{-1} \downarrow\downarrow \iff \text{control energy} \downarrow\downarrow$$

Loosely speaking...

$$\mathcal{W}_T \uparrow\uparrow \iff \mathcal{W}_T^{-1} \downarrow\downarrow \iff \text{control energy} \downarrow\downarrow$$



A normal $\implies \mathcal{W}_T$ "small"
 \implies difficult to control



A non-normal $\implies \mathcal{W}_T$ (potentially) "large"
 \implies (potentially) easy to control

Roadmap

Network controllability:

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- the “practical” approach

Non-normal dynamics
and network structure

Data-driven control of networks

Conclusions & open challenges



Matrix non-normality

$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

non-normal matrices

$$AA^T \neq A^T A$$

Matrix non-normality

$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

symmetric, skew-symmetric,
orthogonal, circulant...

non-normal matrices

$$AA^T \neq A^T A$$

...all the rest!

Matrix non-normality

$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

$$A = U^* D U,$$

U unitary, D diagonal

non-normal matrices

$$AA^T \neq A^T A$$

(for A diagonalizable)

$$A = V^{-1} D V,$$

V *not* unitary, D diagonal

Matrix non-normality

$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

fully described by spectrum

$$\sigma(A) = \{\lambda_i\}_{i=1}^n$$

non-normal matrices

$$AA^T \neq A^T A$$

described by ε -pseudospectrum

(\approx perturbed spectrum)

$$\sigma_\varepsilon(A) = \{\lambda \in \sigma(A + E), \\ E \in \mathbb{C}^{n \times n}, \|E\| \leq \varepsilon\}$$

Matrix non-normality

$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

fully described by spectrum

$$\sigma(A) = \{\lambda_i\}_{i=1}^n$$

small perturbations of the entries



small perturbations of the spectrum

non-normal matrices

$$AA^T \neq A^T A$$

described by ε -pseudospectrum

(\approx perturbed spectrum)

$$\sigma_\varepsilon(A) = \{\lambda \in \sigma(A + E), \\ E \in \mathbb{C}^{n \times n}, \|E\| \leq \varepsilon\}$$

small perturbations of the entries



(possibly) *large* perturbations of the spectrum

Matrix non-normality

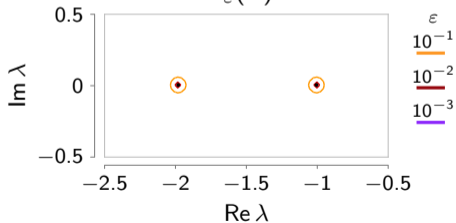
$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

$$A = \begin{bmatrix} -1 & 0 \\ 0 & -2 \end{bmatrix}$$

$\sigma_\varepsilon(A)$

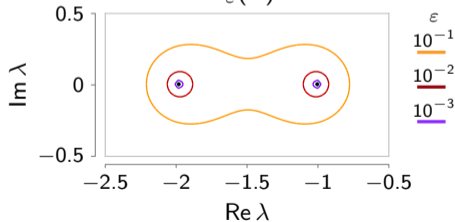


non-normal matrices

$$AA^T \neq A^T A$$

$$A = \begin{bmatrix} -1 & 0 \\ 5 & -2 \end{bmatrix}$$

$\sigma_\varepsilon(A)$



Matrix non-normality

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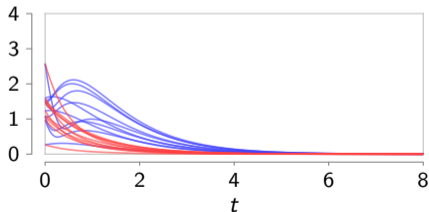
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$\|x(t)\|$

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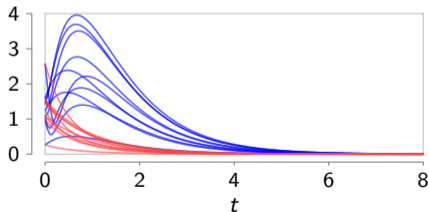
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$\|x(t)\|$

$$A = \begin{bmatrix} -1 & 0 \\ 0 & -2 \end{bmatrix}$$



$$A = \begin{bmatrix} -1 & 0 \\ 10 & -2 \end{bmatrix}$$

Matrix non-normality

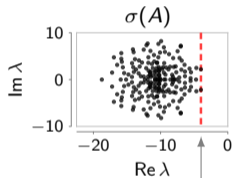
$$A \in \mathbb{R}^{n \times n}$$

normal matrices

$$AA^T = A^T A$$

non-normal matrices

$$AA^T \neq A^T A$$



$$\alpha(A) := \max_{\lambda \in \sigma(A)} \operatorname{Re} \lambda \rightarrow \text{asymptotic behavior}$$

“non-normality” of $A \rightarrow$ *transient* behavior

Non-normal network dynamics

Non-normality has been shown to play a key role in many real networks

Non-normal network dynamics

Non-normality has been shown to play a key role in many real networks

SCIENCE ADVANCES | RESEARCH ARTICLE

NETWORK SCIENCE

Structure and dynamical behavior of non-normal networks

Malbor Asllani^{1,2}, Renaud Lambiotte¹, Timoteo Carletti^{2*}

frontiers in
ECOLOGY AND EVOLUTION

ORIGINAL RESEARCH ARTICLE
published: 04 June 2014
doi: 10.3389/feco.2014.00021



Reactivity and stability of large ecosystems

Si Tang¹ and Stefano Allesina^{1,2*}

¹ Department of Ecology and Evolution, University of Chicago, Chicago, IL, USA
² Computation Institute, University of Chicago, Chicago, IL, USA

PHYSICAL REVIEW RESEARCH 2, 023333 (2020)

Universal transient behavior in large dynamical systems on networks

Wojciech Tarnowski¹, Izaak Neri², and Pierpaolo Vivo²

¹ Institute of Theoretical Physics, Jagiellonian University, S. Łojasiewicza 11, PL 30-348 Kraków, Poland
² Department of Mathematics, King's College London, Strand, London, WC2R 2LS, United Kingdom

(Received 2 September 2019; revised manuscript received 24 March 2020; accepted 11 May 2020; published 12 June 2020)

SCIENCE ADVANCES | RESEARCH ARTICLE

NETWORK SCIENCE

Efficient communication over complex dynamical networks: The role of matrix non-normality

Giacomo Baggio¹, Virginia Rutten^{2,3}, Guillaume Hennequin^{4*}, Sandro Zampieri^{1*†}

PHYSICAL REVIEW E 86, 011909 (2012)

Non-normal amplification in random balanced neuronal networks

Guillaume Hennequin^{*}, Tim P. Vogels, and Wulfram Gerstner

School of Computer and Communication Sciences and Brain-Mind Institute, Ecole Polytechnique Fédérale de Lausanne, 1015 EPFL, Switzerland

(Received 13 April 2012; published 11 July 2012)

Non-normality and non-monotonic dynamics in complex reaction networks

Zachary G. Nicolaou¹, Takashi Nishikawa^{1,2}, Schuyler B. Nicholson^{3,4}, Jason R. Green^{3,4,5} and Adilson E. Motter^{1,2}

¹ Department of Physics and Astronomy, Northwestern University, Evanston, IL 60208, USA

² Northwestern Institute on Complex Systems, Northwestern University, Evanston, IL 60208, USA

³ Department of Chemistry, University of Massachusetts Boston, Boston, MA 02125, USA

⁴ Center for Quantum and Nonequilibrium Systems, University of Massachusetts Boston, Boston, MA 02125, USA

⁵ Department of Physics, University of Massachusetts Boston, Boston, Massachusetts 02125, USA

How to measure non-normality?

\mathcal{N} = set of normal matrices

$$\|AA^T - A^T A\|$$

$$\min_{N \in \mathcal{N}} \|A - N\|$$

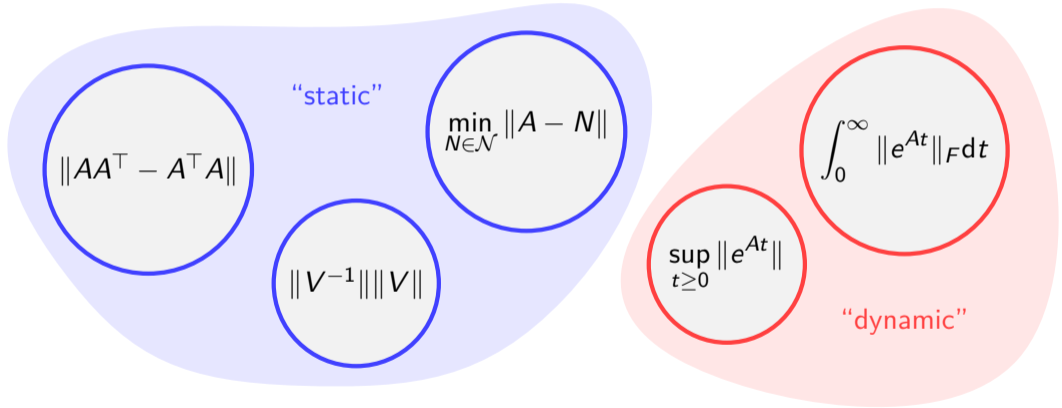
$$\int_0^{\infty} \|e^{At}\|_F dt$$

$$\|V^{-1}\| \|V\|$$

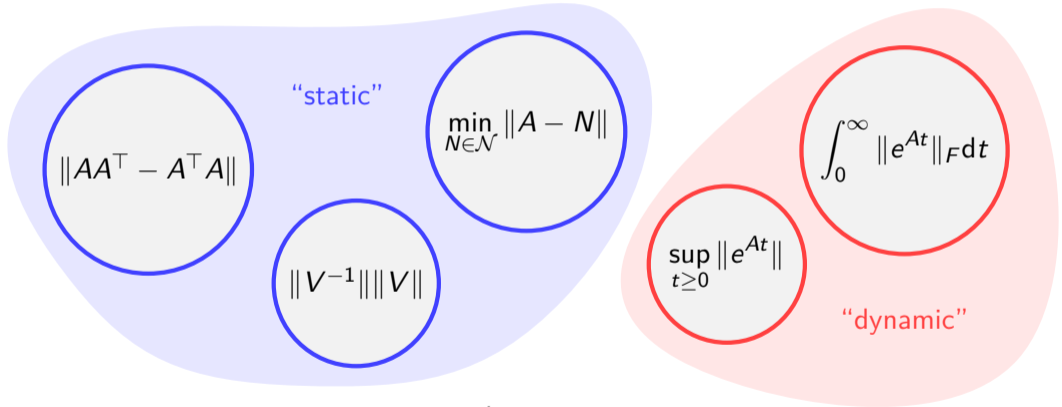
$$\sup_{t \geq 0} \|e^{At}\|$$

V = eigenvector matrix of A

How to measure non-normality?



How to measure non-normality?



...and many more

[Trefethen and Embree, Princeton (2005)]

A link to network structure for positive networks

[Baggio and Zampieri, 2018]

$$\dot{x}(t) = Ax(t) + Bu(t)$$

$$y(t) = Cx(t)$$

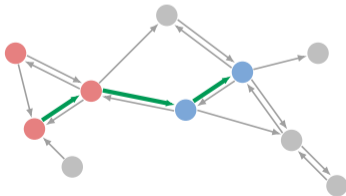
A stable and Metzler

($\text{Re}[\sigma(A)] < 0$ and $A_{ij} > 0, i \neq j$)

$d(\mathcal{K}, \mathcal{T}) = \text{relative diameter}$

shortest path length between
two most distant nodes

$v_i \in \mathcal{K}$ and $v_j \in \mathcal{T}$



$\mathcal{G} = (\mathcal{V}, \mathcal{E})$

$\mathcal{K} = \{\text{red node}\}$

$\mathcal{T} = \{\text{blue node}\}$

A link to network structure for positive networks

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$$\dot{x}(t) = Ax(t) + Bu(t)$$

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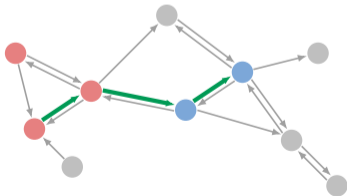
A stable and Metzler

($\text{Re}[\sigma(A)] < 0$ and $A_{ij} > 0, i \neq j$)

$$\text{non-normality } \uparrow\uparrow \iff d(\mathcal{K}, \mathcal{T}) \uparrow\uparrow \\ (\sup_{t \geq 0} \|Ce^{At}B\|) \quad + \text{directionality}$$

$d(\mathcal{K}, \mathcal{T}) =$ relative diameter

shortest path length between
two most distant nodes
 $v_i \in \mathcal{K}$ and $v_j \in \mathcal{T}$



$$\mathcal{G} = (\mathcal{V}, \mathcal{E})$$

$$\mathcal{K} = \{\text{red node}\}$$

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Roadmap

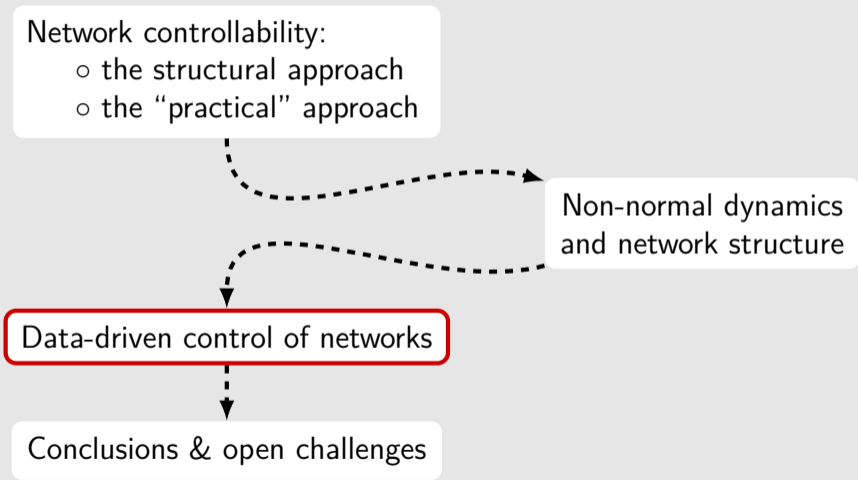
Network controllability:

- the structural approach
- the “practical” approach

Non-normal dynamics
and network structure

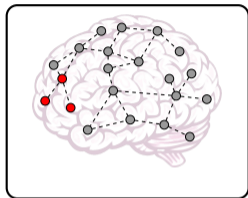
Data-driven control of networks

Conclusions & open challenges

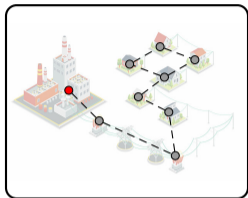


Controlling networks from data

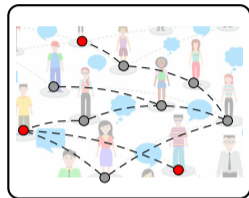
Network structure may be uncertain and/or changing over time !



$x(t)$ = neural activity



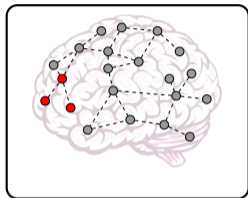
$x(t)$ = power consumption



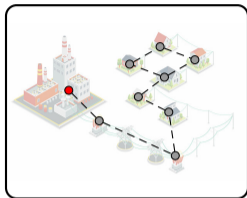
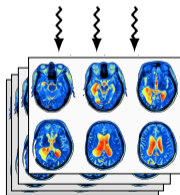
$x(t)$ = individual opinions

Controlling networks from data

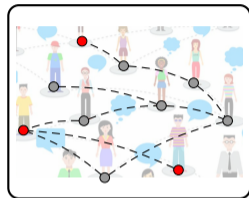
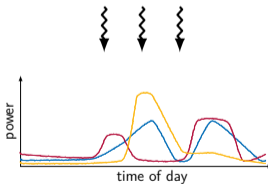
Network structure may be uncertain and/or changing over time !



$x(t)$ = neural activity



$x(t)$ = power consumption



$x(t)$ = individual opinions



However, there's plenty of data out there...

Can we control a network *directly from data*?

The data-driven minimum-energy control problem

$$x(t+1) = \boxed{?} x(t) + \boxed{?} u(t), \quad x(0) = 0$$

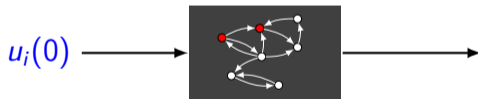
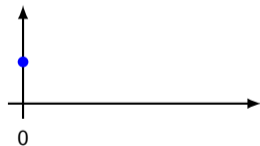
$x_f \in \mathbb{R}^n$ controllable in T steps from $x(0) = 0$

The data-driven minimum-energy control problem

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i -th control experiment

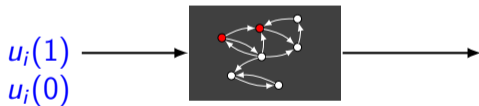
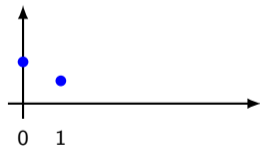


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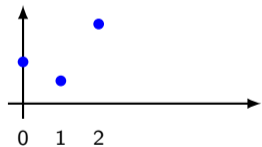


The data-driven minimum-energy control problem

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i -th control experiment



$u_i(2)$
 $u_i(1)$
 $u_i(0)$

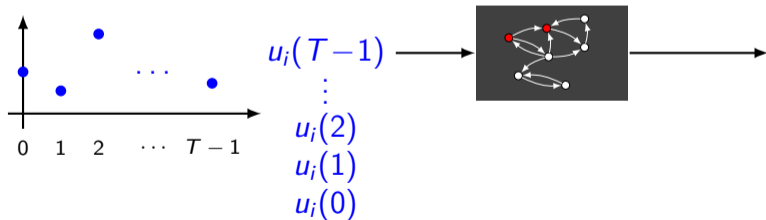


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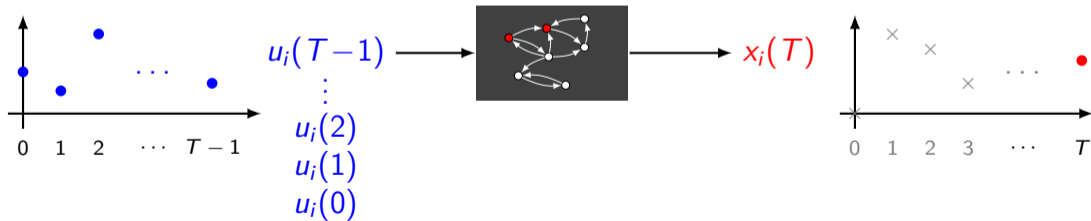


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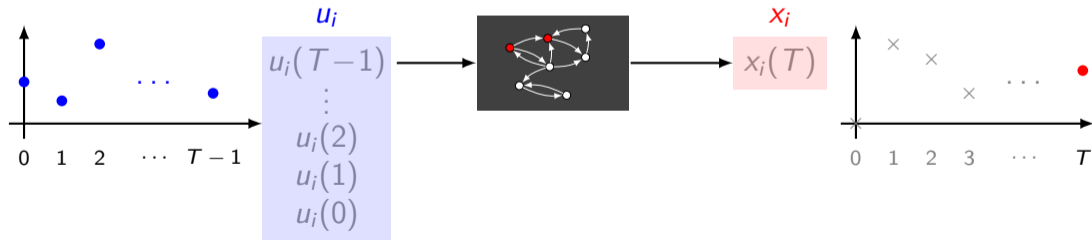
$x_f \in \mathbb{R}^n$ controllable in T steps from $x(0) = 0$

Experimental data:

$$U = [u_1 \ u_2 \ \cdots \ u_N]$$

$$X = [x_1 \ x_2 \ \cdots \ x_N]$$

i -th control experiment



The data-driven minimum-energy control problem

$$x(t+1) = \boxed{?} x(t) + \boxed{?} u(t), \quad x(0) = 0$$

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Task: compute minimum-energy control $u^*(t)$ to reach x_f in T steps *from data*

The data-driven minimum-energy control problem

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non-optimal

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Task: compute minimum-energy control $u^*(t)$ to reach x_f in T steps *from data*

non-optimal

directly =

without identifying
the system!

Data-driven minimum-energy control inputs

①

$$\alpha^* = \arg \min_{\alpha \in \mathbb{R}^N} \|U\alpha\|^2$$

s.t. $x_f = X\alpha$

Data-driven minimum-energy control inputs

①

$$\alpha^* = \arg \min_{\alpha \in \mathbb{R}^N} \|U\alpha\|^2$$

s.t. $x_f = X\alpha$

if U full row rank



$$u^* = \begin{bmatrix} u^*(T-1) \\ \vdots \\ u^*(0) \end{bmatrix} = U\alpha^*$$
$$= (I - UK_X(UK_X)^\dagger)UX^\dagger x_f$$

$K_X = \text{basis of } \ker(X)$

Data-driven minimum-energy control inputs

$$\begin{aligned}
 \textcircled{1} \quad \alpha^* &= \arg \min_{\alpha \in \mathbb{R}^N} \|U\alpha\|^2 && \text{if } U \text{ full row rank} \\
 \text{s.t. } x_f &= X\alpha && \longrightarrow u^* = \begin{bmatrix} u^*(T-1) \\ \vdots \\ u^*(0) \end{bmatrix} = U\alpha^* \\
 &&& = (I - UK_X(UK_X)^\dagger)UX^\dagger x_f \\
 &&& K_X = \text{basis of } \ker(X)
 \end{aligned}$$

$$\textcircled{2} \quad C^* = \arg \min_{C \in \mathbb{R}^{n \times mT}} \|X - CU\|_F \xrightarrow{\text{if } U \text{ full row rank}} u^* = (C^*)^\dagger x_f = (XU^\dagger)^\dagger x_f$$

Data-driven minimum-energy control inputs

$$\begin{aligned} \textcircled{1} \quad \alpha^* &= \arg \min_{\alpha \in \mathbb{R}^N} \|U\alpha\|^2 \\ &\text{s.t. } x_f = X\alpha \end{aligned} \quad \xrightarrow{\text{if } U \text{ full row rank}} \quad u^* = \begin{bmatrix} u^*(T-1) \\ \vdots \\ u^*(0) \end{bmatrix} = U\alpha^* \\ &= (I - UK_X(UK_X)^\dagger)UX^\dagger x_f \\ &K_X = \text{basis of } \ker(X)$$

$$\textcircled{2} \quad C^* = \arg \min_{C \in \mathbb{R}^{n \times mT}} \|X - CU\|_F \quad \xrightarrow{\text{if } U \text{ full row rank}} \quad u^* = (C^*)^\dagger x_f = (XU^\dagger)^\dagger x_f$$

$N = mT$ linearly independent experiments suffice to reconstruct u^*

Approximate data-driven minimum-energy control inputs

$$\textcircled{3} M^* = \arg \min_{M \in \mathbb{R}^{mT \times n}} \|MX - U\|_F \longrightarrow \hat{u} = Mx_f = UX^\dagger x_f$$

Approximate data-driven minimum-energy control inputs

$$\textcircled{3} M^* = \arg \min_{M \in \mathbb{R}^{mT \times n}} \|MX - U\|_F \longrightarrow \hat{u} = Mx_f = UX^\dagger x_f$$

\hat{u} sub-optimal solution ($\hat{u} \neq u^*$)

Approximate data-driven minimum-energy control inputs

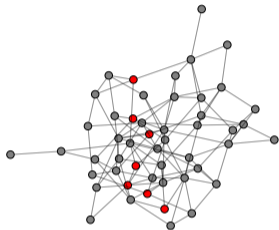
$$\textcircled{3} M^* = \arg \min_{M \in \mathbb{R}^{mT \times n}} \|MX - U\|_F \longrightarrow \hat{u} = Mx_f = UX^\dagger x_f$$

\hat{u} sub-optimal solution ($\hat{u} \neq u^*$), however...

Theorem: If U has i.i.d. entries with zero-mean and finite variance, then as the number of data grows ($N \rightarrow \infty$)

$$\hat{u} \xrightarrow{\text{a.s.}} u^*.$$

A numerical example

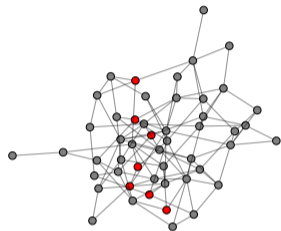


A = adjacency matrix of
Erdős-Rényi graph $p_{\text{edge}} = 0.1$

$n = 50$ nodes, $T = 10$,
 $m = 7$ (rand. chosen) control nodes

U_{ij} i.i.d. r.v.'s, $\mathbb{E}[U_{ij}] = 0$, x_f rand. chosen

A numerical example



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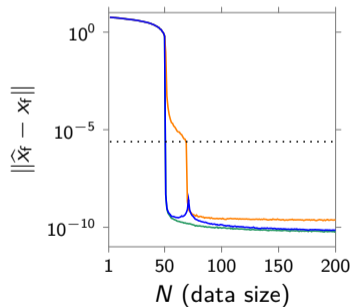
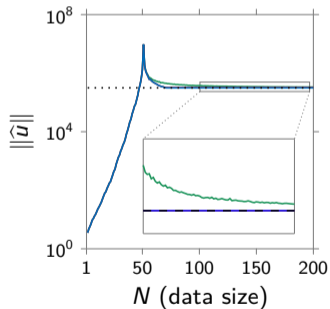
U_{ij} i.i.d. r.v.'s, $\mathbb{E}[U_{ij}] = 0$, x_f rand. chosen

..... Gramian-based

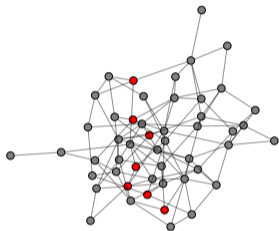
data-driven

① ② ③

(average over 500 random realization)



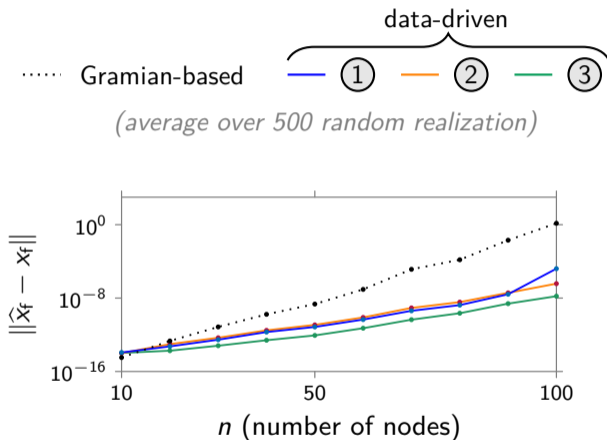
A numerical example



A = adjacency matrix of Erdős-Rényi graph $p_{\text{edge}} = \frac{\ln n}{n} + 0.05$

$T = 2n$, $N = mT + 20$ data samples
 $m = 7$ (rand. chosen) control nodes

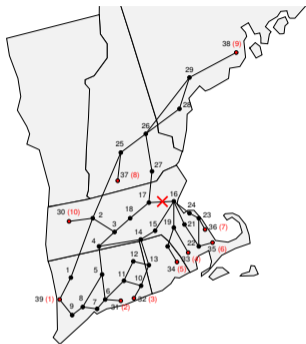
U_{ij} i.i.d. r.v.'s, $\mathbb{E}[U_{ij}] = 0$, x_f rand. chosen



On some relevant extensions

- Data-driven formulas of minimum-energy controls can be established for data comprising experiments of different time lengths and/or initial conditions
- If data is corrupted by i.i.d. noise with known second-order statistics, asymptotically correct data-driven expressions of optimal control inputs can be derived
- The data-driven framework can be extended to control an output $y(t) \neq x(t)$ and to other cost functions depending on the input/state/output

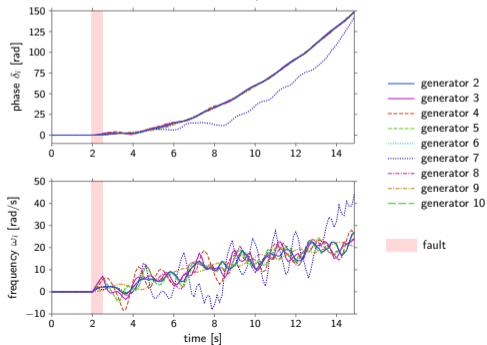
A non-linear application



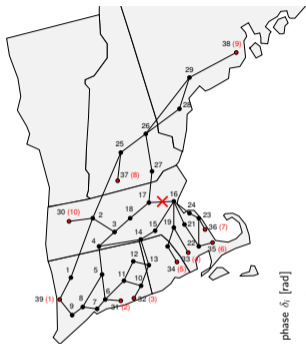
$$\dot{\delta}_i = \omega_i,$$

$$\frac{H_i}{\pi f_b} \dot{\omega}_i = -D_i \omega_i + P_{mi} - G_{ii} E_i^2 + \sum_{j=1, j \neq i}^{10} E_i E_j (G_{ij} \cos(\delta_i - \delta_j) + B_{ij} \sin(\delta_i - \delta_j))$$

discretized model w/o control



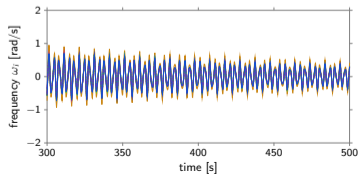
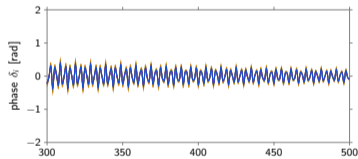
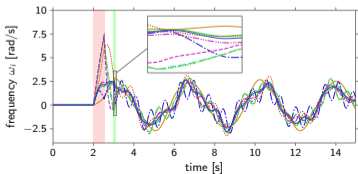
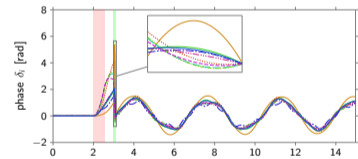
A non-linear application



$$\dot{\delta}_i = \omega_i,$$

$$\frac{H_i}{\pi f_b} \dot{\omega}_i = -D_i \omega_i + P_{mi} - G_{ii} E_i^2 + \sum_{j=1, j \neq i}^{10} E_i E_j (G_{ij} \cos(\delta_i - \delta_j) + B_{ij} \sin(\delta_i - \delta_j)) + u(t)$$

discretized model w/ control



- generator 2
- generator 3
- generator 4
- generator 5
- generator 6
- generator 7
- generator 8
- generator 9
- generator 10
- fault
- control

Roadmap

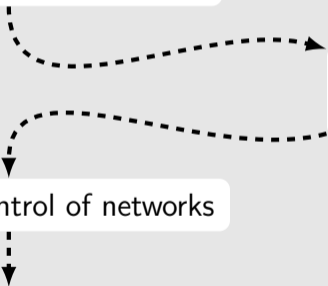
Network controllability:

- the structural approach
- the “practical” approach

Non-normal dynamics
and network structure

Data-driven control of networks

Conclusions & open challenges



Key takeaways

- Structural controllability ignores the role of edge weights and does not capture the “physical” degree of controllability of a network.
- In practice, to evaluate the controllability of a network, one should look at the energy required to control it (and so at the controllability Gramian).
- When using a limited number of control nodes, normal networks are difficult to control. By contrast, there are non-normal networks that are easy to control.
- When controlling a network, exact knowledge of network structure is not always necessary. One can design controls directly from experimental data.

Some interesting open problems

- “Interesting” classes of easy-to-control networks?
(Relation to solution of Lyapunov equations, spectrum of Cauchy-like matrices,...)
- Control energy bounds for continuous-time networks?
(In continuous-time, control energy always grows, at least linearly, with n !)
- Finite sample performance of noisy data-driven controls?
(Tools from non-asymptotic random matrix theory?)
- Data-driven control of non-linear networks?
(Map data to higher-dimensional, linear space? Koopman operator framework?)

Thank you !

Joint work with: S. Zampieri (UniPD), F. Pasqualetti (UCR), D. Bassett (UPenn)

Pasqualetti, Zampieri, Bullo, "Controllability metrics, limitations and algorithms for complex networks", IEEE TCNS, 2014

Baggio, Zampieri, "On the relation between non-normality and diameter in linear dynamical networks", ECC, 2018

Baggio, Bassett, Pasqualetti, "Data-driven control of complex networks", arXiv, 2020